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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                | 10/598,891 |  |
|   | Filing Date            |                | 09/14/2006 |  |
|   | First Named Inventor   | SRINIVAS GUTTA |            |  |
|   | Art Unit               | 2624           |            |  |
|   | Examiner Name          | Mariam, D      |            |  |
|   | Attorney Docket Number | US040150       |            |  |

### U.S.PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
| /D.M./            | 1       | 6185318       | B1                     | 2001-02-06 | ANIL K. JAIN ET AL                              |  |

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### U.S.PATENT APPLICATION PUBLICATIONS

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
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### FOREIGN PATENT DOCUMENTS

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|   | Examiner Name          |                |  |
|   | Attorney Docket Number | US040150       |  |

|        |   |  |                          |
|--------|---|--|--------------------------|
| /D.M./ | 1 | ANIL K. JAIN: "HANDBOOK OF IMAGE AND VIDEO PROCESSING, SECTION 10.5 FINGERPRINT CLASSIFICATION AND MATCHING" 2000, ACADEMIC PRESS, SAN DIEGO, CA, US, 275840, XP002328913. | <input type="checkbox"/> |
| /D.M./ | 2 | A.K. JAIN ET AL: "AN IDENTITY-AUTHENTICATION SYSTEM USING FINGERPRINTS", PROCEEDINGS OF THE IEEE, IEEE. NY, US, VOL. 85, NO. 9, 09/1997, PAGES 1365-1388, XP002261867.     | <input type="checkbox"/> |

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#### EXAMINER SIGNATURE

|                    |                 |                 |            |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /Daniel Mariam/ | Date Considered | 10/16/2009 |
|--------------------|-----------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|   | Attorney Docket Number | US040150       |  |

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                     |                     |            |
|------------|---------------------|---------------------|------------|
| Signature  | /MICHAEL E. MARION/ | Date (YYYY-MM-DD)   | 2006-09-14 |
| Name/Print | MICHAEL E. MARION   | Registration Number | 32266      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**